

4-2100 Re/A

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JCS20 U.S. PTO

JCS30 U.S. PTO  
09/553413  
04/20/00

REISSUE PATENT APPLICATION TRANSMITTAL

<p>ADDRESS TO:</p> <p>ASSISTANT COMMISSIONER FOR PATENTS BOX PATENT APPLICATION WASHINGTON, DC 20231</p>	Attorney Docket No.: <u>9209-4</u>
	First Named Inventor: Dominique Briere
	Original Patent No.: 5,968,560
	Original Patent Issue Date: October, 19, 1999 (Month/Day/Year)
	Express Mail Label No.: EM516323753US

APPLICATION FOR REISSUE OF: ☒ Utility Patent ☐ Design Patent ☐ Plant Patent  
(Check applicable box)

- ☒ Fee Transmittal Form (PTO/SB/56) (Submit an original and a duplicate for fee processing)
- ☒ Specification and Claims (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath/Declaration (original or copy) (37 CFR 1.175) **By ASSIGNEE: Declaration by Inventors to follow (MPEP 1410.01)**
- Original U.S. Patent  
☐ Offer to Surrender Original Patent (37 CFR 1.178) to follow (MPEP 1416)  
 or ☐ Ribboned Original Patent Grant  
☐ Affidavit/declaration of Loss
- Original U.S. Patent currently assigned? ☒ Yes ☐ No  
 (If Yes, check applicable box(es))  
☐ Written Consent of all Assignees to follow (MPEP 1410.01)  
☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney

Accompanying Application Parts

- ☐ Foreign Priority Claim (37 USC 119) (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ English Translation of reissue Oath/Declaration (if applicable)
- ☐ Small Entity Statement(s)  
☐ Statement filed in prior application; status still proper and desired.
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Other

**REISSUE APPLICATION FEE DETERMINATION RECORD PTO/SB/56**

Docket Number 9209-4  
(011496-195086)
**Claims as Filed - Part 1**

Claims in Patent	For		Number Filed in Application	(3) Number Extra	Small Entity		Other Than a Small Entity		
					Rate	Fee	Rate		Fee
(A) 14	Total Claims (37 CFR 1.16(j)) Independent Claims (37 CFR 1.16(i))		(B) 33	**** 13	x \$ 9=	\$	OR	x \$18 =	\$ 234.00
(C) 1			(D) 5	*					
				4	x \$ 39 =	\$			x \$78 =
Basic Fee (37 CFR 1.16(h))						\$ 345.00		OR	\$ 690.00
Total Filing Fee						\$			\$1236.00

**Claims as Amended - Part 2**

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other Than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	Minus	**	* =	x \$9=	\$	OR	x \$18= \$
Independent Claims (37 CFR 1.16(i))	***	Minus	*****	=	x \$39	\$		x \$78= \$
TOTAL ADDITIONAL FEE						\$		OR \$

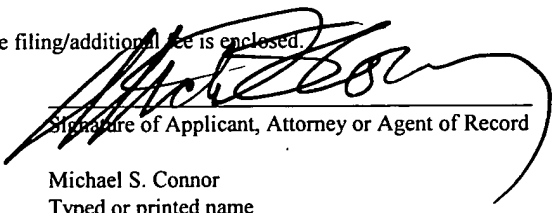
- \* If the entry in (D) is less than the entry in (C), Write "0" in column 3.  
 \*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.  
 \*\*\* After any cancellation of claims  
 \*\*\*\* If "A" is greater than 20, use (B-A); if "A" is 20 or less use (B-20).  
 \*\*\*\*\* Highest Number of Independent Claims Previously Paid For or Number of independent Claims in Patent (C).

☐ Please charge Deposit Account No. 16-0605 in the amount of \$ \_\_

☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. 16-0605.

☒ A check in the amount of \$ 1236.00 to cover the filing/additional fee is enclosed.

20 APRIL 2000  
 Date

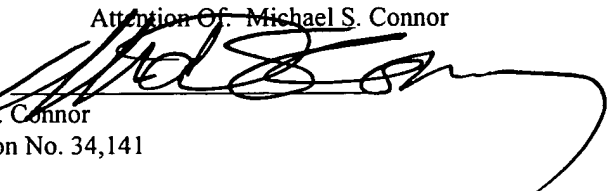
  
 Signature of Applicant, Attorney or Agent of Record

 Michael S. Connor  
 Typed or printed name

14. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 000826

Attention Of: Michael S. Connor

Signature: 

Michael S. Connor

Registration No. 34,141

**ALSTON & BIRD LLP**

Post Office Drawer 34009

Charlotte, NC 28234-4009

Tel Charlotte Office (704) 331-6000

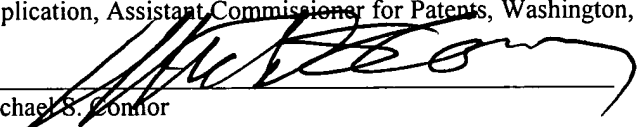
Fax Charlotte Office (704) 334-2014

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number **EM516323753US**

Date of Deposit: April 20, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

  
Michael S. Connor

In Re: Reissue Of  
U.S. Patent No. 5,968,560  
Issued: October 19, 1999  
Page 2

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing label number EM516323753US  
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Michael S. Connor

<p align="center"><b>UTILITY PATENT APPLICATION TRANSMITTAL</b></p> <p><small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small></p>	Attorney Docket No. 9209-2
	First Inventor or Application Identifier: Patrick Petra
	Title of Invention: IMPROVED BLOW MOLD SHELL AND SHELL HOLDER ASSEMBLY FOR BLOW-MOLDING MACHINE
	Express Mail Label No. EL287628769US

jc530 U.S. PTO  
09/553413  
04/20/00

ADDRESS TO: ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, DC 20231

Transmitted herewith for filing in the United States Patent Office is a patent application for:

Inventors: Patrick Petra residing at Caubille Sur Mer, France

1. ☒ The Filing Fee has been calculated as shown below:  
(Submit an original, and a duplicate for fee processing)

	No. Filed	No. Extra	Small Entity Rate      Fee 0	Large Entity Rate      Fee 1
BASIC FEE			\$0	\$760
TOTAL CLAIMS:	41 - 20 =	21	X 9 = \$0	x 18 = \$378
INDEP CLAIMS:	4 - 3 =	1	X 39 = \$0	x 78 = \$78
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED			+130 = \$	+260 = \$0
*If the difference in Column 1 is less than zero, enter "0" in Column 2.			TOTAL \$	TOTAL \$1,216

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 16-0605.

- a. ☐ Fees required under 37 CFR 1.16 (National filing fees).  
b. ☒ Fees required under 37 CFR 1.17 (National application processing fees).
- ☐ A check in the amount of \$ \_\_\_ is enclosed.
- ☒ The above filing fee will be paid along with Applicant(s) Response to the Notice to File Missing Parts.
2. ☒ Specification; Total Pages 24
3. ☒ 10 Sheets of Formal Drawing(s) (35 USC 113)

4. ☐ Declaration and Power of Attorney, [Total Pages \_\_\_\_]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) & 1.33(b).
5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s) (including \$40.00 fee)
8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee); ☐ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449; \_\_\_\_ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
13. ☐ Small Entity Statement(s)  
☐ Statement filed in prior application; status still proper and desired.
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
Foreign Priority is
15. ☐ Other:

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation in Part (CIP)  
of prior application No: \_\_\_\_\_; \_\_\_\_\_

Prior Application Information: Examiner

Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. **CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label **000826**

Attention Of: James C. Edwards

Signature:

Attorney of Record: James C. Edwards

Attorney Registration No. P44,667

Tel Charlotte Office (704) 331-6000

Fax Charlotte Office (704) 334-2014

JCE:ks:4382885

**ALSTON & BIRD LLP**

P.O. Drawer 34009

Charlotte NC 28234-4009

"Express Mail" mailing label number EL287628769US

Date of Deposit September 10, 1999

**EL287628769US**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner For Patents, Washington, DC 20231.

Kim Shaul

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/394,363

09/10/99

PETRA

P

9209-2

000826

0232/1004

ALSTON &amp; BIRD LLP

NOT ASSIGNED

P O DRAWER 34009

CHARLOTTE NC 28234-4009

1722

DATE MAILED:

10/04/99

 Jc530 U.S. PTO  
 09/553413


# NOTICE TO FILE MISSING PARTS OF APPLICATION

## Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1346.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 378.00 for 21 total claims over 20.

\$ 78 for 1 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

*L. Dillon*

Customer Service Center

Initial Patent Examination Division (703) 308-1202



OCT 6 1999  
Received By [Signature]



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/394,363	09/10/99	PETRA	P 9209-2

000826  
ALSTON & BIRD LLP  
P O DRAWER 34009  
CHARLOTTE NC 28234-4009

0232/1004

NOT ASSIGNED

1722

DATE MAILED:

10/04/99

Jc530 U.S. PTO  
09/553413  
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☒ is missing or unsigned.

☐ does not cover the newly submitted items.

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- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

[Signature]

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

By \_\_\_\_\_  
Date \_\_\_\_\_